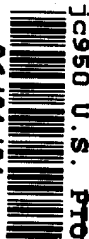


06/04/01

A

06/01/01



1046 U.S. PTO

Please type a plus sign (+) inside this box →

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **301.0015**First Inventor **Feygin**Title **Methods and Apparatus for Improved
Fluid Control Utilizing a**

Express Mail Label No.

EL632899428US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **27**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **11**]

5. Oath or Declaration [Total Pages **2**]

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: **A**
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: **09 / 283,126**

Prior application information:

Examiner **B. Gordon**Group Art Unit: **1743**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☐ Correspondence address below

Name

27997

Address

PATENT TRADEMARK OFFICE

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Peter H. Priest

Registration No. (Attorney/Agent)

30,210

Signature

Date

June 1, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

1046 U.S. PTO
09/873087

06/01/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2001</h2> <p style="font-size: small; margin: 5px 0;">Patent fees are subject to annual revision.</p>		Complete if Known	
TOTAL AMOUNT OF PAYMENT (\$) 844		Application Number	
		Filing Date	
		First Named Inventor Feygin	
		Examiner Name	
		Group Art Unit	
		Attorney Docket No. 301.0015	

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																																																																																							
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 16-1448</p> <p>Deposit Account Name Pharmacopeia, Inc.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity (\$)</th> <th>Small Entity (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="5">Other fee (specify) _____</td></tr> <tr> <td colspan="4" style="text-align: right;">Subtotal (3)</td> <td style="text-align: center;">(\$)</td> </tr> </tbody> </table>	Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17(q)		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____					Subtotal (3)				(\$)
Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid																																																																																																																																																																																				
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																				
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																				
139	130	139	130	Non-English specification																																																																																																																																																																																				
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																				
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																				
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																				
115	110	215	55	Extension for reply within first month																																																																																																																																																																																				
116	390	216	195	Extension for reply within second month																																																																																																																																																																																				
117	890	217	445	Extension for reply within third month																																																																																																																																																																																				
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																																				
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																				
119	310	219	155	Notice of Appeal																																																																																																																																																																																				
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																				
121	270	221	135	Request for oral hearing																																																																																																																																																																																				
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																				
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																				
141	1,240	241	620	Petition to revive - unintentional																																																																																																																																																																																				
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																				
143	440	243	220	Design issue fee																																																																																																																																																																																				
144	600	244	300	Plant issue fee																																																																																																																																																																																				
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																				
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																				
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																				
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																				
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																				
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																				
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																																				
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																				
Other fee (specify) _____																																																																																																																																																																																								
Subtotal (3)				(\$)																																																																																																																																																																																				
<p style="text-align: center;">FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201 355</td><td>Utility filing fee</td><td style="text-align: center;">710</td></tr> <tr><td>106</td><td>320</td><td>206 160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr> <tr><td colspan="3" style="text-align: right;">Subtotal (1)</td><td style="text-align: center;">(\$) 710</td></tr> </tbody> </table> <p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>23</td> <td>-20** = 3</td> <td>18</td> <td>54</td> </tr> <tr> <td>4</td> <td>-3** = 1</td> <td>80</td> <td>80</td> </tr> <tr> <td colspan="3" style="text-align: right;">Subtotal (2)</td> <td style="text-align: center;">(\$) 134</td> </tr> </tbody> </table> <p><small>**or number previously paid, if greater; For Reissues, see above</small></p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	710	201 355	Utility filing fee	710	106	320	206 160	Design filing fee		107	490	207 245	Plant filing fee		108	710	208 355	Reissue filing fee		114	150	214 75	Provisional filing fee		Subtotal (1)			(\$) 710	Total Claims	Extra Claims	Fee from below	Fee Paid	23	-20** = 3	18	54	4	-3** = 1	80	80	Subtotal (2)			(\$) 134	<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203 9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202 40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>80</td><td>209 40</td><td>** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="3" style="text-align: right;">Subtotal (2)</td><td style="text-align: center;">(\$) 134</td></tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	103	18	203 9	Claims in excess of 20	102	80	202 40	Independent claims in excess of 3	104	270	204 135	Multiple dependent claim, if not paid	109	80	209 40	** Reissue independent claims over original patent	110	18	210 9	** Reissue claims in excess of 20 and over original patent	Subtotal (2)			(\$) 134																																																																																																											
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																																					
101	710	201 355	Utility filing fee	710																																																																																																																																																																																				
106	320	206 160	Design filing fee																																																																																																																																																																																					
107	490	207 245	Plant filing fee																																																																																																																																																																																					
108	710	208 355	Reissue filing fee																																																																																																																																																																																					
114	150	214 75	Provisional filing fee																																																																																																																																																																																					
Subtotal (1)			(\$) 710																																																																																																																																																																																					
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																					
23	-20** = 3	18	54																																																																																																																																																																																					
4	-3** = 1	80	80																																																																																																																																																																																					
Subtotal (2)			(\$) 134																																																																																																																																																																																					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description																																																																																																																																																																																						
103	18	203 9	Claims in excess of 20																																																																																																																																																																																					
102	80	202 40	Independent claims in excess of 3																																																																																																																																																																																					
104	270	204 135	Multiple dependent claim, if not paid																																																																																																																																																																																					
109	80	209 40	** Reissue independent claims over original patent																																																																																																																																																																																					
110	18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																					
Subtotal (2)			(\$) 134																																																																																																																																																																																					

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Peter H. Priest	Registration No. (Attorney/Agent)	30,210
Signature	<i>Peter H. Priest</i>	Telephone	919-942-1434
		Date	June 1, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.